

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/25/2001 MKAYPAGH 00000055 09937015

01 FC:970

860.00 OP

PTO-1556  
(5/87)

FORM PTO-1390  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INVENTOR'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371**

ATOCM 228

U.S. APPLICATION NO. (If known, see 37 CFR §1.5)

**09/937015**

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PCT/FR00/00749

24 MARCH 2000

PRIORITY DATE CLAIMED

26 MARCH 1999

TITLE OF INVENTION

METHOD FOR ANIONIC POLYMERIZATION OF LACTAMES

APPLICANT(S) FOR DO/EO/US

FAULHAMMER, Heike, et al.

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR §1.5)

09/937015

INTERNATIONAL APPLICATION NO.

PCT/FR00/00749

ATTORNEY'S DOCKET NUMBER

ATOCM 228

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE ( 37 CFR §1.492 (a) (1) - (5)):**

Search Report has been prepared by the EPO or JPO..... \$860.00

International preliminary examination fee paid to USPTO (37 CFR §1.482)..... \$690.00

No international preliminary examination fee paid to USPTO (37 CFR §1.482)  
but international search fee paid to USPTO (37 CFR §1.445(a)(2))..... \$710.00Neither international preliminary examination fee (37 CFR §1.482) nor  
international search fee (37 CFR §1.445(a)(2)) paid to USPTO..... \$1000.00International preliminary examination fee paid to USPTO (37 CFR §1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than  
months from the earliest claimed priority date (37 C.F.R. §1.492(e)).☐ 20☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	13 - 20 =	0	x \$ 18.00
Independent claims	2 - 3 =	0	x \$ 80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270.00

**TOTAL OF ABOVE CALCULATIONS =**

\$860.00

Reduction of 1/2 for filing by small entity, if applicable. A Verified Small Entity Statement must also be  
filed (Note 37 C.F.R. §§1.9, 1.27, 1.28).**SUBTOTAL =**

\$860.00

Processing fee of **\$130.00** for furnishing the English translation later than  
months from the earliest claimed priority date (37 C.F.R. §1.492(f)).☐ 20☐ 30**TOTAL NATIONAL FEE =**

\$860.00

Fee for recording the enclosed assignment (37 C.F.R. §1.21(h)). The assignment must be accompanied  
by an appropriate cover sheet (37 C.F.R. §§3.28, 3.31). \$40.00 per property.**TOTAL FEES ENCLOSED =**

\$860.00

Amount to be  
refunded:

charged:

a. ☒ A check in the amount of **\$860.00** to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. **13-3402** in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. **13-3402**. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 C.F.R. §§1.494 or 1.495 has not been met, a petition to  
revive (37 C.F.R. §1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO: Customer Number 23,599



23599

PATENT TRADEMARK OFFICE

  
SIGNATURE

I. William Millen

NAME

Filed: 20 SEPTEMBER 2001

IWM:kmo

19,544

REGISTRATION NUMBER